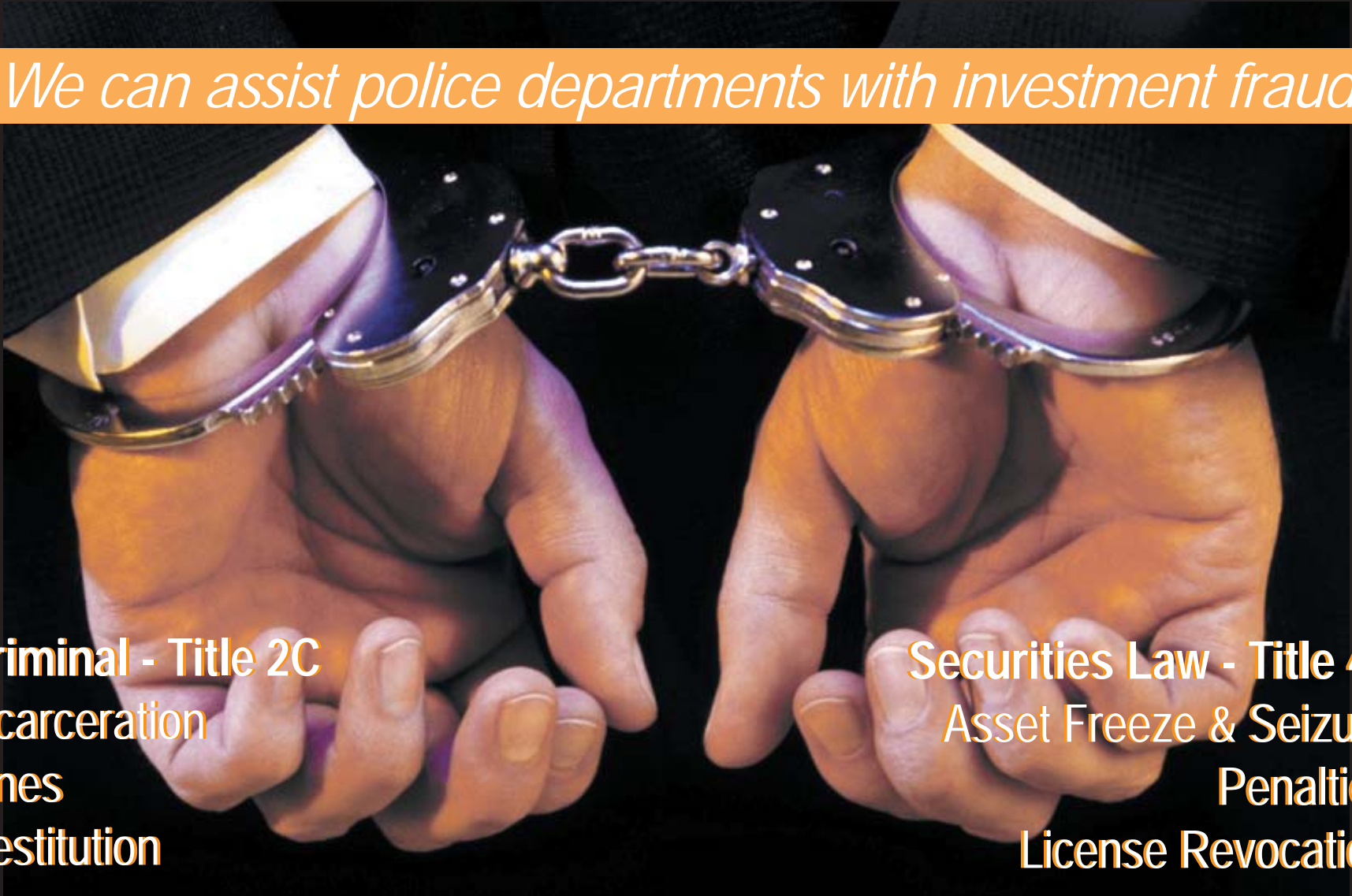


# Investment Fraud is a Crime

*We can assist police departments with investment fraud*



**Criminal - Title 2C**  
Incarceration  
Fines  
Restitution

**Securities Law - Title 49**  
Asset Freeze & Seizure  
Penalties  
License Revocation

## **RED FLAGS** For Securities Fraud:

- Victims receive **BAD CHECKS** from Broker;
- **Promissory Notes** with rates that are unrealistic;
- Instructed to make **investment checks** payable individual broker;
- Victims were told **not to tell anyone**;
- Little or **no documentation provided**; and
- Use of the statements **Guaranteed and Risk Free**.

*Criminal Investigators and Line Officers can request **assistance** by calling:*

# 1-866-I-INVEST

E-mail: [askbureauofsecurities@lps.state.nj.us](mailto:askbureauofsecurities@lps.state.nj.us)

Web site: [www.NJSecurities.gov](http://www.NJSecurities.gov)



Office of the Attorney General  
The New Jersey Bureau of Securities  
Regulators of firms and individuals selling securities

